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| ATTORNEY DOCKET NO. MNI-108 (1855.2067-000) | | LICATION NO. 009,802 | | |
| FIRST NAMED INVENTOR Sean A. McCarthy | | FILING DATE January 20, | 1998 | |
| EXAMINER David Guzo | CONFI 7895 | RMATION NO. | GROUP 1636 | |

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| EF. | DOCUMENT NUMBER Number-Kind Code (if known) | ISSUE DATE / PUBLICATION DATE MM-DD-YYYY | NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT | | | | | |
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| 01 | AM2 | WO 01/16318 A2 | 03-08-2001 | Genentech, Inc. | | |
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| INITIAL | <u>A</u> | 5,525,486 | June/96 | Honjo et al. | | 435 | 69.1 | | OPRIATE | | |
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| | AG | Glinka, A et a | I., Bickko | pf-1 is a member | of a new family of s | ecreted p | ed proteins and funtions in | | | | |
| | АН | Klein R.D. et | n", <i>Natur</i> e al. "Selec | 391:357-362 (1 | 996); coding secreted prot | 11/1 | pecapitars", Pro. Natl. | | | | |
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| | AJ | Nusse, R. et a | راور Vnt gالاتر.ا⊑ | enes", <i>Cell</i> , 69: 10 | 073-1087 (1992): | | | | | | |
| | AK | | | | | | | | | | |
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| | AL | Sawada, K. e | al., "Char | acterization of te | rmanally differentiate | ed cell sta | te by catego | rizing c[| ONA | | |
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| N | | 301030 5', MF | KNA seque | ence (11/29/96); | 5 for Soares retina I | | | | | | |
| | AN | GenBank™ A | ccession I | Number AA03196 | 9 for Soares_pregna | ant_uterus | _NbHPU H | omo sap | iens | | |
| | AO | | | mRNA sequence Number AA03732 | (8/21/96); 2 for Soares_senes | cent fibro | hlaste NINLI | SE User | <u></u> | | |
| | | sapiens CUNA | cione 325 | 915 5', mRNA se | equence (11/25/96); | | | | | | |
| 1 | AP | GenBank™ A | ccession N | lumber AA04136 nRNA sequence | 0 for Soares_fetal_h | eart_NbH | H19W Hom | o sapier | ıs | | |
| 1 | AQ | GenBank™ A | ccession N | lumber AA06385 | 9 for Stratagene mo | use testis | (#937309) M | Alie mile | culus | | |
| | $ \cdot $ | CDIAN CIONE 3 | 14040 5 5 | imiliar to TR:G51 | 7093 G517093 HYP | OTHETIC | AL 39.2 KD | PROTE | N; | | |
| MA | AR | mRNA sequer GenBank™ Ad | cession N |); lumber AA07390 | 4 for Stratagene mo | uso bood | /#027246\ 1 | A | | | |
| U | | cDNA clone 53 | 36577 5', г | nRNA sequence | (2/15/97); | use near | (#30/310) N | nus mus | cuius | | |
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| M | BA | CUNA CIONE IMAGE:516168 5' SIM | ilar to 1R:G51/093 G51/093 HYP | ic (#937308) Mus musculu OTHETICAL 39.2 kd | | | | | | |
| 1 | BB | protein; mRNA sequence (2/3/97); GenBank™ Accession Number A | 115337 for Soares_pregnant_uter | us_NbHPU Homo sapiens | | | | | | |
| - | BC | cDNA clone 501425 5', mRNA seq | | | | | | | | |
| | BC | GenBank™ Accession Number AA cDNA clone 590026 5', mRNA seq | N155928 for Stratagene endothelial uence (12/11/96); | cell 937223 Homo sapiens | | | | | | |
| | BD GenBank™ Accession Number AA209468 for Stratagene hNT neuron (#937233) Homo sapiens cDNA clone 648310 3', mRNA sequence (3/12/98); | | | | | | | | | |
| | BE | GenBank™ Accession Number AA | 253464 for Soares_NhHMPu_S1 H | Homo sapiens cDNA clone | | | | | | |
| 669375 3', mRNA sequence (3/12/97); GenBank™ Accession Number AA265561 for Soares mouse lymph node NbMLN Mus musculu cDNA clone 718668 5' similar to TR:G517093 G517093 HYPOTHETICAL 39.2 KD PROTEIN; mRNA sequence (3/20/97); BG GenBank™ Accession Number AA351624 for Infant brain Homo sapiens cDNA 5' end similar to | | | | | | | | | | |
| | BG | GenBank™ Accession Number AA RIG, mRNA sequence (4/21/97); | 351624 for Infant brain Homo sapi | ens cDNA 5' end similar to | | | | | | |
| | ВН | GenBank™ Accession Number AA 728407 5' similar to TR:G517093 0 mRNA sequence (5/16/97); | | | | | | | | |
| | 81 | GenBank™ Accession Number AA cDNA clone 760299 3', mRNA seq | .425947 for Soares_total_fetus_Nb.uence (10/16/97); | 2HF8_9w Homo sapiens | | | | | | |
| | ₿J | GenBank™ Accession Number AA cDNA clone 917486 5' similar to TF mRNA sequence (7/1/97); | 497850 for Stratagene mouse testi | s (#937308) Mus musculu CAL 39.2 KD PROTEIN; | | | | | | |
| | BK | GenBank™ Accession Number AA cDNSA clone 917858 5' similar to 1 mRNA sequence (7/1/97); | 497886 for Strategene mouse testi FR:G517093 G517093 HYPOTHET | s (#937308) Mus musculu TCAL 39.2 KD PROTEIN; | | | | | | |
| | BL | GenBank™ Accession Number AA IMAGE:1016173 3', mRNA sequen | ce (9/8/97); | · | | | | | | |
| | ВМ | GenBank™ Accession Number AA 743604 3' similar to TR:G517093 (mRNA sequence (10/16/97); | 628979 for Soares testis NHT Hom G517093 HYPOTHETICAL 39.2 KE | no sapiens cDNA clone D PROTEIN; | | | | | | |
| | BN | GenBank™ Accession Number AA IMAGE:1173698 3', mRNA sequen | A641247 for NCI_CGAP_Pr24 Hom ce (10/27/97); | o sapiens cDNA clone | | | | | | |
| | BO | GenBank™ Accession Number AA clone 1125007 5', mRNA sequence | 692959 for Knowles Solter mouse: | 2 cell Mus musculus cDNA | | | | | | |
| | 8P | GenBank™ Accession Number AB phospholipase C gamma-binding p | 005216 for Homo sapiens mRNA for | | | | | | | |
| | BQ | GenBank™ Accession Number AC (2/3/97); | | | | | | | | |
| | BR | GenBank™ Accession Number AC B284B3, complete sequence (11/13 | | some 4q25, BAC clone | | | | | | |
| | BS | GenBank™ Accession Number AF(complete cds (2/11/98); | | -1 (mdkk-1) mRNA, | | | | | | |
| M | ВТ | GenBank™ Accession Number AF(complete cds (2/11/98); | 030434 for Xenopus laevis Dickkop | ıf-1 (Xdkk-1) mRNA, | | | | | | |
| V | BU | Complete 603 (2/11/30), | | | | | | | | |
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| | | | (12/12/96); Accession Number D26311 for Chicken mRNA for unknown protein, complete cds |
| | c | | GenBank™ Accession Number G05905 for human STS WI-6501 (10/19/95); |
| Γ | C | E | GenBank™ Accession Number H71273 for Soares fetal liver spleen 1NFLS Homo sapiens |
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| 1 1 | CI | | GenBank™ Accession Number H99266 for Soares melanocyte 2NbHM Home conions 2DNA |
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| | C | 1 | GenBank™ Accession Number L17318 for Rattus norvegicus proline-rich proteoglycan (PRG2) |
| } | Cŀ | | |
| | | | GenBank™ Accession Number M64793 M36414 for Rat salivary proline-rich protein (RP15) gene, complete cds (5/9/91); |
| | Cı | | GenBank™ Accession Number M98807 for Xenopus laevis noggin mRNA, complete cds (10/14/92); |
| | C1 | Т | GenBank™ Accession Number N94525 for Soares_senescent_fibroblasts_NbHSF Homo |
| | | ┸ | T addictional politic state of the second of |
| | CK | | GenBank™ Accession Number U32331 for Homo sapiens RIG mRNA, complete cds (9/17/98); |
| | CL | T | GenBank™ Accession Number U38801 for Rattus norvegicus high molecular weight |
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| | | | GenBank™ Accession Number W45126 for Soares_senescent_fibroblasts_NbHSF Homo sapiens cDNA clone 322864 3', mRNA sequence (10/10/96); |
| | CP | T | GenBank TM Accession Number W55070 for Constant of the Consta |
| - | ca | igspace | GenBank™ Accession Number W55979 for Soares_senescent_fibroblasts_NBhh19w Homo sapiens cDNA clone 340680 5', mRNA sequence (10/15/96); |
| | | | GenBank™ Accession Number W61032 for Soares_senescent_fibroblasts_NBHSF Homo |
| / | Į . | 1 | sapiens cDNA clone 326135 5' similar to contains element MER22 repetitive element; mRNA sequence (10/11/96); |
| \neg | CR | † | GenBank™ Accession Number W79975 for Soares mouse embryo NbME13.5 14.5 Mus |
| Ш | | l | musculus cDNA clone 402616 5', mRNA sequence (6/25/96); |
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| | 88 | ribosomal protein S28 mRNA, complete GenBank™ Accession Number AA5285 IMAGE:912545 similar to SW:RL37, HU | 75 for NCL CCAD 16:14 | ience (7/17/97); | |
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| 11 | BJ | GenBank™ Accession Number AA74129 | 4 for NCI_CGAP_GCB | 1 Homo sapiens cDNA clone | |
| | - 1 | IMAGE:1286515 3' similar to SW:ANP2_A mRNA sequence (2/7/98); | AUSBR P12101 ANTIFF | REEZE PEPTIDE AB2. [1]. | |
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| 88 | P | GenBank™ Accession Number AB018005 | for Home gasiess ! " | | |
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| 80 | , [| GenBank™ Accession Number AC001235 for Human Chromosome 11 page PD 1350-17, UTO 2 | | | |
| BR | + | | | | |
| , ° | ` | GenBank™ Accession Number AF009075 for Hepatitis C virus generic DNA St | | | |
| BS | +- | region, partial sequence. clone #16 (8/9/97 | <u>');</u> | | |
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| | | GenBank Accession Number AF030155 for Drosophila melanogaster translation initiation | |
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| AV | CA | GenBank™ Accession Number AF030155 for Drosophila melanogaster translation initiation | |
| OI | | factor eIF4G mRNA, complete cds (4/17/98); | |
| <u> </u> | СВ | GenBank™ Accession Number AF052685 for Homo sapiens protocadherin 43 gene, exon 3, | |
| | 1 | exon 4, and complete cds. (3/29/98); | |
| -+- | CC | GenBank™ Accession Number Al093106 for Soares_fetal_heart_NbHH19W Homo sapiens | |
| - 1 | 1 | cDNA clone IMAGE:1694687 3', mRNA sequence (8/18/98); | |
| - | CD | GenBank™ Accession Number Al120461 for Soares mouse mammary gland NMLMG Mus | |
| ì | 1 | musculus cDNA clone IMAGE:1383114 5' similar to gb:U11248 Mus musculus C57BL/6J | |
| ŀ | 1 | ribosomal protein S28 mRNA, complete (MOUSE); mRNA sequence [Mus musculus] (9/2/98); | |
| | CE | GenBank™ Accession Number Al128249 for Soares_pregnant_uterus NbHPU Homo sapiens | |
| - 1 | ì | cDNA clone IMAGE:1711454 3"; mRNA sequence [Homo sapiens] (10/27/98); | |
| | CF | GenBank™ Accession Number Al136880 for UI-R-C2p-of-f-01-0-UI.sl UI-R-C2p Rattus | |
| | 1 | norvegicus cDNA clone UI-R-C2p-of-f-01-0-UI e', mRNA sequeance [Rattus norvegicus] | |
| } | | 9/18/98); | |
| | CG | GenBank™ Accession Number AJ006866 for Orthochirus scrobiculosus mRNA for insecticidal | |
| - } | | toxin, partial (6/27/98); | |
| | СН | GenBank™ Accession Number B24434 for Arabidopsis thalia genomic clone F20C17, genomic | |
| - } | 1 | survey sequence (10/9/97); | |
| | CI | GenBank™ Accession Number C89869 for Dictyostelium discoideum SS (H.Urushihara) | |
| - 1 | | Dictyostelium discoideum cDNA clone SSG557, mRNA sequence (4/20/98); | |
| | ci | GenBank™ Accession Number D67096 for Hepatitis C virus genome, 3' terminus (8/14/96); | |
| 1 | | Genbank ···· Accession Number Do7096 for Repailits C virus genome, 3 terminus (6/14/96), | |
| | CK | GenBank™ Accession Number D85016 for Non-A non-B hepatitis virus genomic RNA for 3' UTR | |
| | | (10/8/96), | |
| GenBank™ Accession Number D85017 for Non-A non-B hepatitis virus gennomic RN/ | | | |
| | 100 | UTR (10/8/96); | |
| | СМ | GenBank™ Accession Number D85020 for Non-A non-B hepatitis virus genomic RNA for 3' UTR (10/8/96); | |
| | CN | GenBank™ Accession Number D85021 for Non-A non-B hepatitis virus genomic RNA for 3' UTR | |
| | 11 | (10/8/96); | |
| | CO | GenBank™ Accession Number D85022 for Non-A non-B hepatitis virus genomic RNA for 3' UTR | |
| | | (10/8/96); | |
| | СР | GenBank™ Accession Number D85024 for Non-A non-B hepatitis virus genomic RNA for 3' UTR | |
| - 1 | | (10/8/96); | |
| $\neg 	op$ | ca | GenBank™ Accession Number D85025 for Non-A non-B hepatitis virus genomic RNA for 3' UTR | |
| | 1 | (10/8/96); | |
| | CR | GenBank™ Accession Number I80064 for Sequence 37 from patent US 5708157 (3/20/98); | |
| | cs | GenBank™ Accession Number M29111 for D.discoideum actin A-2-sub-2 gene, 5' flank | |
| - 1 | 1 1 | (3/15/90); | |
| +- | СТ | GenBank™ Accession Number M29121 for D.discoideum actin A-11 gene, 5' flank (3/15/90); | |
| | | Genbank - Accession Number 19/25/12 Flor D. discoldeum actin A-11 gene, 5 Hank (3/15/90), | |
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| ar | | bisphosphate caraboxylase/oxy (6/26/92); | · . | | | |
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| | DC | GenBank™ Accession Number | T02494 | for Debopam Chakrabarti | | NΑ |
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| | DE | GenBank™ Accession Number (7/6/89); | | for Slime mold (D. discoid | feum) gene for actin 2 sub2 | |
| | DF | GenBank™ Accession Number sapiens cDNA clone IMAGE:32 | | | | |
| | DG | GenBank™ Accession Number | | | | |
| } | | musculus cDNA clone IMAGE:3 CHAIN (HUMAN); gb:V00722 N | | | | |
| | DH | GenBank™ Accession Number | | | | NA |
| | | clone 345970 3', mRNA sequence (10/17/96); | | | | |
| | Di | GenSeq™ Accession Number > (5/18/99); | | · | | |
| | ΟJ | GenSeq™ Accession Number X56830 for Human phdkk-2 cDNA (7/14/99); | | | | |
| | DK | GenSeq [™] Accession Number > (6/21/99); | X51459 fo | or Human secreted proteil | n 5' EST SEQ ID NO:38 | |
| | DL | GenSeq™ Accession Number X97746 for Extended human secreted protein coding sequence, SEQ ID NO:311 (9/13/99); | | | | ١, |
| | DM GenSeq [™] Accession Number V38798 for Homo sapiens cerebellum and embryo specific pro (11/9/98); | | | | um and embryo specific prote | ein |
| | DN | GenSeq [™] Accession Number X52255 for Protein PRO295 cDNA clone DNA38268-1188 (6/25/99); | | | | |
| | 00 | Aravind, L. and E.V. Koonin, "A colipase fold in the carboxy-terminal domain of the Wnt antagonists—the Dickkopfs", Curr. Biol., 8(14):R477-8 (1998); | | | | |
| | OP | Fedi, P. et al., "Isolation and biochemical characterization of the human Dkk-1 homologue, a novel inhibitor of mammalian Wnt signaling", J. Biol. Chem. 274(27):19465-19472 (1999); | | | | |
| | DQ | Krupnik, V.E., et al., "Functional and structural diversity of the human Dickkopf gene", Gene 238:301-313 (1999); | | | | |
| | DR | Monaghan, A.P. et al., "Dickkopf genes are co-ordinately expressed in mesodermal lineages", Mech. Dev., 87(1-2):45-56 (1999); | | | | |
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OTHERS (including other, Title, Date, Pertinent Pages, Etc.) GenBank™ Accession No. AAC02427 for Xdkk-1 (2/10/98); GenBank™ Accession No. AAB92664 for RIG-like 7-1 (12/28/97); GenBank™ Accession No. AAF02674 for dickkopf-1 (10/16/99); GenBank™ Accession No. AF177394 for Homo sapiens dickkopf-1 (DKK-1) mRNA; complete cds. (10/16/99); GenBank™ Accession No. AAF02675 for dickkopf-2 (10/16/99); GenBank™ Accession No. AF177395 for Homo sapiens dickkopf-2 (DKK-2) mRNA, complete cds. (10/16/99); GenBank™ Accession No. AAF02676 for dickkoff-3 (10/16/99); GenBank™ Accession No. AF177396 for Homo sapiens dickkopf-3 (DKK-3) mRNA, complete GenBank™ Accession No. AAF02677 for dickkopf-4 (10/16/99); GenBank™ Accession No. AF177397 for Homo sapiens dickkopf-4 (DKK-4); mRNA, complete cds. (10/16/99); EL GenBank™ Accession No. AAF02680 for Dkk-3 protein (10/16/99); GenBank™ Accession No. AF177400 for Mus musculus Dkk-3 protein (Dkk-3) mRNA, complete cds. (10/16/99); GenBank™ Accession No. AAF02678 for soggy-1 protein (10/16/99); GenBank™ Accession No. AF177398 for Homo sapiens soggy-1 protein (SG-1) mRNA, EO complete cds. (10/16/99); GenBank™ Accession No. AAF02679 for soggy-1 protein (10/16/99); GenBank™ Accession No. AF177399 for Mus musculus soggy-1 protein (Sgy-1) mRNA, ΕO complete cds. (10/16/99); GenBank™ Accession No. AF127563 for Homo sapiens Sk/Dkk-1 protein precursor, mRNA, complete cds. (6/29/99); GenBank™ Accession No. AAD21087 for Sk/Dkk-1 protein precursor (6/29/99); Date Considered EXAMINER

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GenBank™ Accession No. AAD22461 for dickkopf-1 (3/31/99); GenBank™ Accession No. AF1169852 for Danio rerio dickkopf-1 (dkk1) mRNA, complete cds. (3/31/99): GenBank™ Accession No. CAB60110 dickkopf-2 (11/4/99); GenBank™ Accession No. AJ243963 for Mus musculus mRNA for dickkopf-2 (dkk-2 gene) GenBank™ Accession No. CAB60111 for dickkopf-3 (11/4/99); FE GenBank™ Accession No. AJ243964 for Mus musculus mRNA for dickkop-3 (dkk-3 gene) (11/4/99);GenBank™ Accession No. BAA34651 for homologue of mouse dkk- gene:Acc# AF030433 GenBank™ Accession No. AB020314 for Homo sapiens Dickkopf-1 (hdkk-1- gene, exons, 1st and 2nd coding region (11/23/99); GenBank™ Accession No. AB020315 for Homo sapiens Dickkopf-1 (hdkk-1) gene, 3rd, 4th coding region and complete cds. (11/23/99); GenBank™ Accession No. BBA85465 for Dickkopf-2 (10/20/99); GenBank™ Accession No. AB033208 for Homo sapiens dickkopf-2 mRNA, complete cds. (10/20/99); GenBank™ Accession No. AB035180 for Homo sapiens Dickkopf-2 gene, exon (11/27/99), GenBank™ Accession No. BAA87056 for Dickkopf-2 (11/27/99); GenBank™ Accession No. AB035181 for Homo sapiens Dickkopf-2 gene, exon and partial cds. (11/27/99); GenBank™ Accession No. BAA85488 for Dickkopf-3 (10/20/99); GenBank™ Accession No. AB033421 for Homo sapiens dickkopf-3 mRNA, complete cds. (10/20/99): GenBank™ Accession No. BAA7044 for Dickkopf-3 (11/27/99); GenBank™ Accession No. AB035182 for Homo sapiens Dickkopf-3 gene, partial cds. (11/27/99); GenBank™ Accession No. BAA33475 for hdkk-4 (11/23/99). Examiner Date Considered 11/2/10

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